Research paper

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Investigating Direction of Arrival (DOA) Estimation Techniques with Smart Antennas for Improved Wireless Communication Analysis

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Abstract

Within the realm of wireless communication systems, smart antenna systems play a pivotal role. This paper commences with a concise overview of the array correlation matrix in the context of wireless communications. Subsequently, it delves into the significance of Direction of Arrival (DOA) estimation, clarifying its objectives within wireless communication systems. The essence of this paper lies in the in-depth exploration of three distinct DOA estimation techniques: Bartlett DOA estimation, Minimum Variance Distortionless Response (MVDR), and Linear Prediction DOA estimation. Each of these techniques is comprehensively detailed in the fourth section, shedding light on their unique approaches and practical applications. The final section of this paper embarks on a rigorous comparative analysis, evaluating the performance of the aforementioned DOA estimation methods. This evaluation thoroughly examines their individual strengths and limitations, leading to a comprehensive conclusion.

Introduction

Over the past decade, the surge in demand for enhanced quality and novel value-added services within existing wireless communication frameworks has surpassed all expectations. Anticipating the integration of around half a billion handsets into the context of third-generation systems (UMTS, IMT 2000), capable of providing up to 2 MB bandwidth per user, the wireless landscape is undergoing transformative change [1]. Meeting the challenging requirements of increased spectrum efficiency and system capacity within current wireless networks has presented a formidable task to service providers. In response to these demands, smart antennas, also known as adaptive array antennas, have emerged as a critical solution. With their ability to suppress interference, combat fading, and facilitate new services, smart antennas have become pivotal in realizing the potential of third-generation (3G) and fourth-generation (4G) wireless communications [2]. This paper sets the stage by providing an introductory overview of the array correlation matrix in wireless communication systems. This matrix forms the backbone of numerous Direction of Arrival (DOA) algorithms, a crucial aspect of smart antenna technologies. The subsequent sections of this paper delve into three distinct schemes of DOA estimation that capitalize on smart antenna technologies in the realm of wireless communications. Through the lens of pseudospectrum, the limitations of these three schemes are meticulously compared in terms of

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their resolution of direction of arrival angles [3]. Central to this exploration is the array correlation matrix, which establishes a link with many DOA algorithms. The matrix's elements encapsulate information about the array, received signals, and additive noise. The paper illustrates this matrix's structure within the context of an array receiving incident plane waves from diverse directions [4]. In summary, this paper embarks on a journey to unveil the potential of smart antennas as transformative forces in the evolution of wireless communication systems. By addressing the demands for increased spectrum efficiency and system capacity, this study contributes to the broader understanding of smart antenna technologies' role in shaping the landscape of wireless communication.

Array correctation matrix

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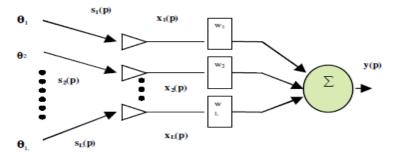


Figure.1 N-Element Array with Arriving Signal

$$y(p) = \overline{w}^T \overline{x}(p) \tag{2.1}$$

where

$$\overline{x}(p) = \left[\overline{a}(\theta_1)\overline{a}(\theta_2) \cdots \overline{a}(\theta_L)\right] \cdot \left[\begin{array}{c} s_1(p) \\ s_2(p) \\ \vdots \\ s_{L(p)} \end{array} \right] + \overline{n}(p)$$

$$= \overline{A.S}(p) + \overline{n}(p)$$
(2.2)
and $\overline{w} = [w_1 w_2 \cdots w_N]^T$ are array weights.

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 $\overline{s}(p) =$ Vector of incident complex monochromatic signals at

time p,

 $\overline{n}(p)$ = Noise vector at each array element n, zero mean , variance σ_n^2 ,

 $\overline{a}(\theta_i) =$ N-element array steering vector for the θ_i , direction of arrival

 $\overline{A} = \left[\overline{a}(\theta_1)\overline{a}(\theta_2)\cdots\overline{a}(\theta_L)\right]N \times L \text{ matrix of steering}$ vector $\overline{a}\theta_i$.

Results

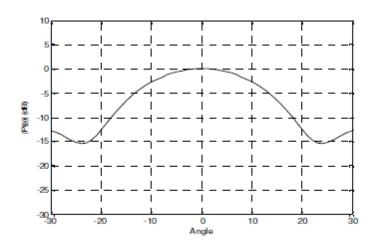
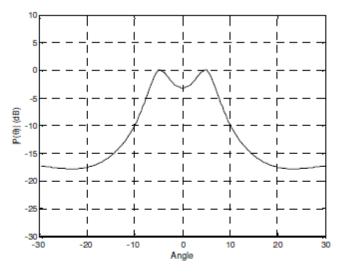
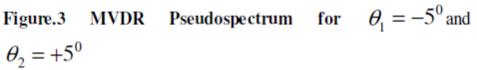


Figure.2 Bartlett Pseudospectrum for $\theta_1 = -5^0$ and $\theta_2 = +5^0$

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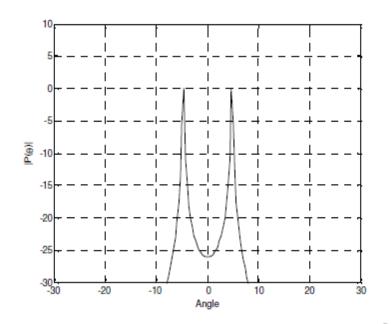


Figure.4 Liner Prediction Pseudospectrum for $\theta_1=-5^0$ and $\theta_2=+5^0$

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Conclusion

In contrast, Figure 3 illustrates the MVDR (Minimum Variance Distortionless Response) DOA estimation method, which demonstrates significantly enhanced resolution when compared to the Bartlett method. The notable advantage of MVDR lies in its ability to provide superior resolution, particularly in scenarios where competing sources exhibit high correlation. MVDR's effectiveness relies on the assumption that all other sources act as interferers, influencing the weight conditioning process. Specifically, when multiple signals exhibit characteristics similar to multipath signals with Raleigh amplitude and uniform phase, an uncorrelated state emerges, making the MVDR technique applicable. Both the Bartlett and MVDR estimation methods share a key advantage in their non-parametric nature. These techniques do not require prior knowledge of statistical properties, underscoring their flexibility and adaptability in real-world scenarios.

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