

A Comprehensive Survey on Rice Leaf and Seedling Disease Detection Systems

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Abstract

Rice holds a significant position in India's agricultural landscape, contributing substantially to the nation's economy, with approximately 70% dependence on agricultural products. However, the uncertainty surrounding agricultural production due to natural calamities, environmental factors, and unpredictable plant diseases poses challenges. Identifying plant diseases manually is a daunting task for farmers and crop producers. Hence, the adoption of automatic detection systems emerges as a contemporary solution. Researchers are actively developing numerous automatic plant disease detection systems. This paper presents a concise overview of diverse image processing and machine learning techniques applied to identify diseases in rice leaves and seedlings. The survey encompasses various attributes, including segmentation types, segmentation techniques, extracted features, dataset sizes, author details, publication years, disease categories, techniques utilized, detection/classification accuracy, and future prospects/limitations. After reviewing several research papers, we provide a succinct summary of recent image processing and machine learning approaches employed by researchers in the detection and classification of diseases affecting rice leaves and seedlings.

Keywords: image segmentation, machine learning, classification, detection, rice disease, seedling.

1. Introduction

Rice is a major crop in India. Near about 70%, the economy depends on agriculture products. However, agriculture production is uncertain due to natural calamities, environmental conditions, and unpredictable plant diseases. Plant diseases are very difficult to detect and classify by the naked eye by the farmers and product producers. Therefore, an automatic detection system is a modern approach. Rice disease detection and classification model consists

of the following three steps [Prajapati, et al. (2017)]. In the first step, the segmentation technique used to obtain the diseased part from the healthy part of an image. In the second step, useful features retrieved from the object for classification. In the last step, classification techniques used for the classification of different types of diseases according to the features provided as input [Phadikar, et al. (2012)]. First, we present a review on image processing techniques that use different segmentation techniques such as clustering, thresholding, and the watershed, etc. and feature extraction techniques such as GLCM, HAAR wavelet, etc. to process input image data. Then we present a review on machine learning techniques such as R-CNN, ANN, SVM, Naive Bayes, PNN, Rule Generation Techniques, Decision Tree, and Random Forest that highlight on year of the publication, types of diseases, detection/classification accuracy, future scope and limitations of the current research work. In this paper, we present a review of different approaches and techniques based on certain parameters. These parameters include segmentation type, segmentation techniques used, features extracted, dataset size, author's name, and publication year, category of disease, algorithm/techniques used, detection/classification accuracy, and future scope/limitations. Twenty-one papers of the last eight years considered on rice leaf and seedling diseases for doing a survey from the year 2012 to 2020. Further part of the paper arranged as follows: Section 2 describes the diseases types and their symptoms, image processing steps and machine learning steps used in research papers. Section 3 describes literature survey. Section 4 describes a summary of image processing techniques used in rice disease detection. Section 5 describes a summary of machine learning techniques used for rice disease classification and conclusions are discussed in section 6.

2. Background

In this section, we provide some background information related to our work.

2.1 Rice diseases and their symptoms

In this section, we explain different rice diseases and their symptoms briefly [Groth, et al. (1914)].

1. Rice Leaf Blast: Symptoms of this disease are dark to oval spots with a reddish-brown margin [Groth, et al. (1914)][Shah, et al. (2016)].
2. Brown spot: Symptoms of this disease are round to oval, dark-brown color spot [Groth, et al. (1914)][Shah, et al. (2016)].
3. Narrow brown leaf spot: The symptoms of this disease are long narrow or reddish-brown lesions. Lesions are on leaf sheaths. Under favorable conditions, it expands lesions across veins and leaves may damage [Groth, et al. (1914)].
4. Sheath blight: Lesions are oval with reddish-brown spots. Under favorable conditions, birds nest areas may form [Groth, et al. (1914)].
5. Leaf scald: Lesions with Reddish and brown large bands [Groth, et al. (1914)].

6. Bacterial leaf blight: Lesions include prolonged lesions near the tip or margin of the leaf. Lesions are long, and it turns to yellow due to the implication of fungi [Groth, et al. (1914)].
7. Sheath rot: Symptoms of this disease are a reddish-brown stain on leaf sheath [Groth, et al. (1914)].
8. Leaf smut: Lesions are small black on the leaf blades. Dark gold or brown halo lesions [Groth, et al. (1914)].
9. Seedling Blight: Brown spot on a sheath or growing the point, seedling suddenly dying [Groth, et al. (1914)].

2.2 Steps in image processing Following steps are required in image processing [Rafael, and Richard, (1997)].

2.2.1 Image acquisition In this method, images collected from the field with the help of a digital or mobile camera, and then different image processing techniques can be applied. 2.2.2 Image preprocessing For obtaining good performance in disease detection and classification process, preprocessing of images are needed because images come with various noises and unwanted parts. Sometimes, images may come with water droplets and dimness effects. The effect of such perversion can be reduced or eliminated using noise elimination techniques. There may be a need for image enhancement using available techniques.

2.2.3 Image segmentation Segmentation technique applied to find particular regions of interest or object. In the literature survey paper, various segmentation techniques such as k-means clustering, Otsu's threshold method [Larijani, et al. (2019)], Pixel-based, Fermi Energy, Fractal Descriptors, and watershed methods are used [Yang, et al. (2019)].

2.2.4 Feature extraction The feature extraction technique [Prajapati, et al. (2017)] is used to collect immanent characteristics or features of given objects. These features are then used as an input to classify the object. In the literature survey, the following categories of features are extracted: statistical, color, shape, texture, wavelets, size, area, proximity, and centroids, morphology features, correlation-based feature, textural descriptors using GLCM and color moments.

2.2.5 Classification Classification represents grouping the data into specific categories or classes. Various classification algorithms used are SVM, artificial neural network, decision tree, k-NN, and rule-based techniques.

2.3 Steps in machine learning

It is not possible manually to detect diseases. Plant diseases are very difficult to detect and classify by the naked eye by the farmers and product producers. Therefore, an automatic detection system is a modern approach. Machine learning algorithms are classified in the following four types: supervised learning, unsupervised learning, semi-supervised learning, and reinforcement learning [Tom, (1997)]. The following steps are required to carry out classification

2.3.1 Gathering data In this step, we gather the data. This is very important because the quality and quantity of data will decide how good your predictive model can be.

2.3.2 Data preparation Collected data is not very useful. It needs to be prepared, normalized, and remove errors before using it. Needs to be converting into the required format.

2.3.3 Choosing a model This step consists of choosing the correct model. Choosing an appropriate algorithm is very important because our prediction accuracy depends on the chosen model.

2.3.4 Training the model Given a set of inputs, the training consists of the construction of the computational model [Diego, et al. (2018)]. In this step, training data used to improve the ability of the model incrementally. In general, we can use 80% of data for training and 20% of data for Evaluation. Machine Learning is a much more diverging tool that uses the performance of already existing neural network techniques to provide a generic algorithm that can recognize or identify certain features in the given input. Following Machine Learning Algorithms are used in survey papers – ANN, Genetic Algorithm, SVM, Decision Tree, K-NN, R-CNN, Feed forward Neural Network, Naïve Bayes and Logistic Regression, MDC, Back Propagation Neural Network, Rule generation classifier, Texture Analysis, and Probabilistic Neural Network (PNN).

3. Related Work

This section describes several research contributions that have already contributed by researchers related to rice leaf and seedlings disease detection and classification. The literature used in this survey paper is from 2012 to 2020, that is, the last 8 years.

We have gone through several research papers and the outcome belongs to these results as presented in this section.

[Pothen, and Pai, (2020)] proposed a system to detect Bacterial blight, Leaf smut, and Brown Spot. Features obtained from LBP and Support Vector Machine uses HOG for classification. Otsu's segmentation technique used and separate the infected part from the healthy portion. Segmentation using Otsu's method finds the best value for the threshold. Otsu method divides the image into the number of segments by grouping pixels that correspond to the objects in an image. Three Kernel functions like Linear, Polynomial, and Radial Basis Function (RBF) used with SVM to train the data.

[Yang, et al. (2019)] presented a system to detect rice and rice blast diseases. In this paper, the microscopy image used as an input and identifies the diseases. Watershed method used for the segmentation of backer spores in images. Texture and shape features extracted and provide as an input to the decision tree model for classification. Classification accuracy measured by using the confusion matrix method and achieved detection accuracy was 94%.

[Mohammad, et al. (2019)] presents an early detection of rice blast disease using image-processing techniques. In this paper, improved k-NN along with K-means applied to classify the diseases in lab color space. The segmentation techniques used for partitioned images were the

Otsu method. Shape and color features extracted and used for the classification. Sensitivity, specificity, and accuracy used for calculating the effectiveness of the k-NN algorithm combined with k-means. The accuracy achieved by the depicted algorithm was 94%.

[Nidhis, et al. (2019)] proposed a system to detect Bacterial blight, Rice Leaf Blast, and Brown Spot using image-processing techniques. In this paper, k-means clustering used to separate the damaged portions from the undamaged portion of the leaf. Point feature matching technique applied for disease detection. Features like color, size, centroids, and proximity used for the categorization of types of diseases.

[Zhou, et al. (2019)] proposed a system to identify and classify Rice blast, bacterial blight, and sheath blight diseases. Otsu's threshold technique used to weaken the interference of the background portion with the finding of the required region. FCM-KM algorithm used to calculate the best values of k. Combination of FCMKM and Faster R-CNN used for recognition of rice diseases. Disease detection accuracy achieved for rice blast, bacterial blight, and blight was 96.71%, 97.53%, and 98.26% respectively.

[Shreekanth, et al. (2019)] proposed a system to identify Leaf Blast, Brown Spot, and Leaf Blight diseases in paddy leaf. Otsu's method applied for the partition of the image. Wavelets and texture feature used for classification. Classification performed by using a feed-forward neural network (FFNN). Accuracy of classification was 83.3% for 3 types of diseases and 100% accuracy for 2 types of diseases.

[Kawcher, et al. (2019)] purpose of this work is to detect diseases such as Bacterial Blight, Brown Spot and Leaf Smut, using four different machine learning algorithms such as K-Nearest Neighbour, Naive Bayes,

Logistic Regression and Decision Tree. The accuracy of disease detection achieved from this model was 97% after 10-fold cross-validation. In this paper, attributes (features) were extracted using a correlation-based feature selection method.

[Bakar, et al. (2018)] proposed a system to detect Rice Leaf Blast disease, and it also classifies the severity of disease into three different stages like infection, spreading, and worst stage. In this work, the HSV color space is used. Multi-Level thresholding technique applied to find the region of interest. Shape and Color features extracted. This model is not suitable for the identification of other diseases that may have identical traits.

[Ramesh, and Vydeki, (2018)] proposed a system that presents a machine learning technique used for early detection of Rice Leaf Blast disease. K-means clustering algorithm used to separate damaged portion from the healthy portion of the image. Statistical and texture features are used to distinguish healthy and diseaseaffected leaves. ANN algorithm used for the classification of infected and healthy leaf images.

[Zhang, et al. (2018)] proposed a system to detect three types of rice blast lesion namely acute type, chronic type, and white type respectively. Otsu's method used for segmentation. Color,

and morphology features are used for classification. SVM classifier with Radial Basis Function (RBF) is used for the classification of three types of rice blast lesion. The average accuracy of classification achieved is 95.6% after 5 fold cross-validation. The segmentation algorithm and feature extraction techniques used in this work may also use for identical crops.

[Islam, et al. (2018)] proposed a method to detect and classify the types of disease such as Rice Leaf Blast, Brown spot, and Bacterial blight. It uses a percentage of RGB value as an input for classification using Naive Bayes classifier into different types of diseases. It does not specify any segmentation technique. The accuracy of this model is above 89% for Rice blast disease and above 90% for Bacterial Blight, and Rice Brown Spot disease.

[Prajapati, et al. (2017)] proposed a system for the identification of Bacterial blight, Brown spot, and Leaf Smut. K-means clustering algorithm applied for the segmentation and enhanced output of segmentation by eliminating green pixels from the damaged region. Color, shape, and texture features are used as input for classification by the Support Vector Machine. The accuracy achieved is 93.33% on training and 73.33% on test datasets. They achieved 83.80% and 88.57% accuracy, after 5 and 10-fold cross-validations, respectively.

[Pinki, et al. (2017)] proposed a system for recognition of Bacterial Blight, Rice Leaf Blast, and Brown Spot diseases. It also gives advice to farmers for the use of pesticides and fertilizers. K-means clustering algorithm used to separate diseased regions from the healthy region of the image. Color, shape, and texture features are used as input for classification by the SVM. It also calculates the severity of the disease by determining the percentage of the damaged portion.

[Narmadha, et al. (2017)] purpose of this work is to detect and identify Rice Blast, Brown spot, and Narrow Brown spot diseases using image processing techniques. K-means clustering used to separate unwanted portions and noise from the image, and it detects diseases. It uses shape and color features for classification using ANN and Fuzzy Classification. In this work, accuracy not specified.

[Ghyar, and Birajdar, (2017)] present a system for automatic detection of Rice Leaf Blast, and Brown Spot disease using texture and color descriptors. Three features like area, texture descriptors, and color moments are considered for classification. Features are selected using a genetic algorithm. SVM and ANN classifiers are used in this system. SVM provides 92.5% accuracy and ANN provides 87.5% accuracy.

[Joshi, and Jadhav, (2016)] presents a system for the detection of Rice Leaf Blast, Bacterial Blight, Brown Spot, and Sheath Rot. Color and shape features were used for classification. Two classifiers, K-NN and MDC (Minimum Distance Classifier) used in this system. K-NN achieved 87.02 % accuracy and MDC achieved 89.23% classification accuracy.

[Chung, et al. (2016)] proposed a system to classify unhealthy and healthy seedlings. 3-week old seedlings used for this work. The seedlings were prepared in an incubator for 3 weeks. Using a flatbed scanner, images of infected seedlings were scanned. The thresholding technique used

for segmentation. Essential traits and parameters are used as input to the SVM classifiers. A support vector machine (SVM) used as a classifier for differentiating infected seedlings from healthy seedlings. Two classifiers used in a cascade manner for classification. Parameters selected using a genetic algorithm. In this work, an accuracy achieved is 87.9%.

[William, et al. (2014)] proposed a system to detect rice disease more accurately by using image processing and the Artificial Neural Network algorithm. Back Propagation neural network used for disease identification and increase the accuracy, and the performance of the image-processing algorithm. It also suggests a recommendation for quick relief and tactical planning for the next plantation. Otsu's method is used for the segmentation. Features such as area, mean, and standard deviation extracted and used for the classification. Back-propagation Neural Network algorithm is used. Accuracy was 100%.

[Asfarian, et al. (2013)] proposed a system to identify four rice diseases namely Rice Leaf Blast, Brown spot, Bacterial leaf blight, and tungro. It uses fractal descriptors to study the texture of the lesions. Diseased images extracted manually and used as input for the identification of disease. The descriptors of lesion images are used for classification as input using probabilistic neural networks. This approach achieved 83% disease identification accuracy.

[Phadikar, et al. (2013)] purpose of this research work is to identify Rice Leaf Blast, Brown Spot, Bacterial blight, and Sheath rot. Fermi energy technique applied to separate the diseased region. Genetic Algorithm applied to find the infected region. Using a novel techniques color, shape, and position features extracted and used for the classification of diseases. Important features are selected to diminish the intricacy of the classifier using rough set theory (RST). A rule-based technique used for classifying the diseases.

[Phadikar, et al. (2012)] proposed a system used for detecting Rice Leaf blast, and Rice brown spot using a morphological change in a leaf. The radial distribution features used as an input for the classification. Bayes and SVM used for the classification. Bayes achieved an accuracy of 79.5% and SVM achieved 68.1%. Preprocessing of images required before classification and detection.

4. Summary of Image Processing Techniques used in Rice Disease Detection

In this section, we present different image processing techniques implemented in research works on rice disease identification by the researchers. We used a total of 21 research papers of the last eight years on rice leaf and seedling disease by considering parameters such as segmentation type, segmentation techniques, features extracted, dataset size, and image background. Table-1. Presents summary of different image processing techniques used in rice disease detection

Table 1. Summary of Image Processing Techniques Used in Rice Disease Detection

Ref.	Segmentation Type	Techniques used	Extracted features	Size of dataset
[21]	resholding	Otsu's Method	Texture Features(LBP)	120 images
[29]	Watershed	Distance transformation	Texture and Shape	2000 microscopic images
[10]	Clustering and Thresholding	K-means and Otsu method	Shape and Color	500 sample images
[30]	Clustering and Thresholding	K-means and Otsu method	Texture, color , and shape	3010 images
[16]	Clustering	K-means size, color,	proximity, and centroids	Not specified.
[24]	Thresholding	Otsu's Method	Texture and Wavelets	Not specified
[9]	Not Specified	Not Specified	Correlation Based Feature	480 images
[2]	Multi-Level Thresholding	Pixel-based	Shape and Color	Not Specified
[22]	Clustering	K-means	Statistical and Texture	300 images
[31]	Thresholding	Otsu's Method	Morphology and color	90 images
[7]	Not Specified	Not Specified	RGB values	60 images
[19]	Clustering	K-means	Color, shape, and texture	Not specified.
[20]	Clustering	K-means	Color, texture, and shape	Not specified
[15]	Clustering	K-means	Shape and color	Not specified
[5]	Clustering	K-means	Area ,texture descriptors using GLCM , and color moments	Not specified
[8]	Clustering	YCbCr color	space Shape and Color	115 images
[3]	Thresholding	--	Morphological and color traits	700 images

[28]	Thresholding	Otsu's Method	Statistical features and fraction(area)	134 images
[1]	Fractal Descriptors	Multiscale transform	Color	40 images
[17]	Thresholding and Clustering	K-means Otsu method, and Fermi energy based	Color, shape and position	500 images
[18]	Thresholding	Otsu's method	Radial hue distribution	1000 images

5. Summary of Machine Learning Operations used in Rice Disease Detection and Classification

This section presents a survey of different machine learning [Tom, (1997)] algorithms applied to rice disease detection and classification. In this survey, we considered the following parameters like the author's name, and publication year, category of disease, techniques used, detection/classification accuracy, limitations, and future scope of their research work. Table-2. Presents the summary of various machine learning [Tom, (1997)] operations used in rice diseases detection and classification.

Table 2. Summary of Various Machine-Learning Operations Used in Rice Disease Detection and Classification

Author's name	Year of the publication	Types of Diseases	Applied Technique /Algorithm	Detection/ Classification Accuracy	Limitations/ Future Scopes
Pothen Minu Eliz et al. [21]	(2020)	Bacterial blight, Leaf Smut and Brown Spot	SVM	94.6%	1. Only three diseases are considered. 2. Database extension needed in order to reach more accuracy.
Yang Ning et al.[29]	(2019)	Rice Smut, and Rice Blast	DT	94%	1. Obtaining a microscopic image and microscopic image acquisition is difficult and complicated. 2. It is used to detect only two types of diseases. 3. Accuracy is less (94%). Larijani
Mohammad	2019)	Rice Blast	KNN	94%	1. It is used only to detect

Reza et al. [10](Rice Blast Disease. 2. No comparison with other classifier
Nidhis A. D. et al. [16]	(2019)	Rice Blast, Brown Spot, and Bacterial Blight	k-means clustering	Not specified	1. Accuracy is not specified. 2. Dataset size is not specified. 3. Only three diseases are covered.
Zhou Guoxiong et al. [30]	(2019)	Rice blast, Sheath blight, and Bacterial blight	R-CNN	97.2%	1. It is not suitable for monitoring large-scale rice farming. 2. Complexity is more.
Shreekanth K. N. et al. [24]	(2019)	Leaf Blast, Brown Spot and Leaf Blight Feed forward NN	83.3%	for three and 100% for two types of diseases.	1. Size of dataset is not specified. 2. Success rate is low for dataset of three types of diseases. 3. Only three diseases are covered.
Kawcher Ahmed et al. [9]	(2019)	Bacterial Blight, Leaf Smut, and Brown Spot	K-NN, DT, Naive Bayes and Logistic Regression	97%	1. It predicted the rice leaf diseases with greater or lesser accuracy. 2. Quality of datasets can be improved. 3. Need to analyze the performance of learning methods.
Bakar Abu M.N. et al. [2]	(2018)	Rice Blast	Multi-Level Thresholding	Not specified	1. Only one Rice disease is covered. 2. The technique is not suitable for detection of other diseases which may have similar features.
Ramesh S. et	(2018)	Rice Blast	ANN	90% for	1. It used only for detecting

al. [22]				the infected images and 86% for the healthy images	Rice Blast Disease. 2. It distinguishes only the healthy and unhealthy leaves. 3. It will not classify types of diseases.
Zhang Jun. et al. [31]	(2018)	Rice Blast	SVM	95.6%	1. Very small dataset is used 2. No comparison with other classifier Islam
Taohidul et al. [7]	(2018)	Rice Blast, Brown Spot, and Bacterial Blight	Naïve Bayes	Rice Blast above 89%, Brown Spot above 90%, and Bacterial Blight above 90%	1. Dataset is very small. 2. Segmentation is not used. 3. Only RGB value features is used.
Prajapati H. B. et al. [19]	(2017)	Bacterial Blight, Brown Spot, and Leaf Smut	SVM	Training accuracy 93.33% , and testing accuracy 73.33% .	1. Testing accuracy is very less. 2. Very large set of features are used.
Pinki F. T. et al. [20]	(2017)	Leaf blast, Bacterial blight and Brown spot	SVM	Not specified	1. Accuracy is not specified.
Narmadha R. P. et al. [15]	(2017)	Rice Blast, Narrow Brown	ANN and Fuzzy Classification	Not specified	1. Accuracy is not specified. 2. Only three diseases are covered. 3. Implementation details and Classification of

		Spot, and Brown Spot.			diseases is not properly explained. 4. Dataset is not specified.
Ghyar Bhagyashri S. et al. [5]	(2017)	Leaf Blast and Brown Spot	ANN and SVM Not	specified	1. Only two diseases are covered.
Joshi Amrita A. et al. [8]	(2016)	Rice Blast, Bacterial Blight, Brown spot, and Sheath rot	k-NN and MDC K-NN accuracy	87.02 % and MDC accuracy 89.23% be covered.	1. Dataset is very small. 2. Segmentation is not properly mentioned. 3. More diseases can
Chung ChiaLin et al. [3]	(2016)	Bakanae	SVM	87.9%	1. This approach is less subjective and time-consuming. 3. Accuracy is less. 4. Complexity is more.
William John et al. [28]	(2014)	Bacterial Leaf Blight, Brown Spot, and Rice Blast	Back Propagation NN	100%	1. Only three diseases are covered. 2. Dataset size is small. 3. No performance comparison with other Neural Network. 4. Computational cost is high. Asfarian
Auzi et al. [1]	(2013)	Leaf Blast, Brown Spot, Bacterial Blight, and Tungro	Texture Analysis and PNN	83%	1. Dataset is very small. 2. Accuracy can be improved.
Phadikar Santanu et al. [17]	(2013)	Rice blast, Brown spot, Bacterial	Rule generation	90%	1. Computational complexity is more. 2. Accuracy can be improved.

		blight, and Sheath rot			
Phadikar Santanu et al. [18]	(2012)	Brown spot and rice blast	Naïve Bayes and SVM	79.5% for Bayes and 68.1% for SVM	1. Accuracy is very low. 2. Only two diseases are considered. 2. Comparison between classifier not mentioned clearly

6. Conclusion

Rice is a major crop in India. Rice plant diseases are very difficult to detect and classify by the naked eye by the farmers and product producers. Therefore, an automatic detection system is a modern approach. In this work, we present a survey of different approaches and techniques applied for the identification and classification of rice leaf and seedling diseases. A total of 21 papers of the last eight years from 2012 to 2020 are considered on rice plant diseases for doing surveys. In the survey paper, we found that various segmentation techniques such as k-means clustering, Otsu's method, Pixel-based, Fermi Energy, Fractal Descriptors and watershed method are used and statistical, color, shape, texture, wavelets, size, area, proximity, and centroids, morphology features, correlation-based feature, textural descriptors, and color moments features are extracted. Following Machine Learning Algorithms used in survey papers: ANN, Genetic Algorithm, SVM, Decision Tree, K-NN, R-CNN, Feed-Forward Neural Network, Naïve Bayes, Logistic Regression, Minimum Distance Classifier (MDC), Back Propagation Neural Network, Rule generation technique, Texture Analysis, and Probabilistic Neural Network (PNN). Many authors used the SVM classifier for the classification of diseases when compared with other classifiers. We concluded that SVM and ANN give better accuracy, for the classification of diseases

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